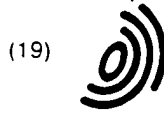


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- Poslavsky, Leonid  
Belmont, California 94002 (US)
- Lewis, Jennifer  
Littleton, Colorado 80123 (US)

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(71) Applicant: Applied Materials, Inc.  
Santa Clara, CA 95054 (US)

(74) Representative: Bayliss, Geoffrey Cyril et al  
BOULT WADE TENNANT,  
27 Funnival Street  
London EC4A 1PQ (GB)

(72) Inventors  
• Buie, Melisa J.  
Sunnyvale, California 94086 (US)

(54) Method and apparatus for measuring etch uniformity of a semiconductor

(57) The disclosure relates to a method and apparatus for performing in situ measurement of etch uniformity within a semiconductor wafer processing system. Specifically, the apparatus and concomitant method analyzes optical emission spectroscopy (OES) data produced by an OES system (100). The analysis computes the first derivative of the OES data as the data is acquired. When the data meets a particular trigger criterion, the value of the first derivative is correlated with a particular uniformity value. As such, the system produces a uniformity value for a semiconductor wafer using an in situ measurement technique.

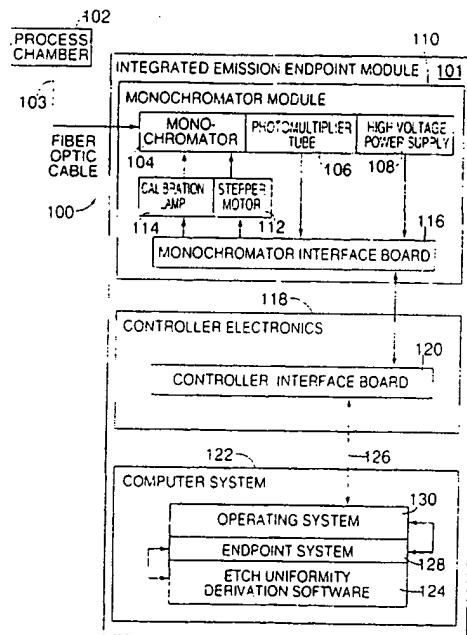


FIG. 1

EP 0 821 396 A2

## Description

The invention relates to semiconductor wafer processing systems that perform "dry" etching of semiconductor wafers. More particularly, the invention relates to a method and apparatus for performing *in situ* measurement of etch uniformity as a semiconductor wafer is etched by a semiconductor processing system.

Semiconductor processing systems that perform "dry" etch of semiconductor wafers typically rely upon post processing analysis to determine the uniformity of the etch process. To use such post processing techniques, a plurality of wafers are etched and then the amount of material removed by the etch process is measured using depth-measuring equipment, such as a laser interferometer for transparent films or profilometer for opaque films. The measuring equipment measures the etch results at various points on the wafer surface. The relative depth of each point provides an indication of etch uniformity. Such wafer post processing is expensive and consumes many wafers before the uniformity produced by the etch process is satisfactory.

There has been some success in the art in developing *in situ* etch uniformity measuring systems that utilize optical emission spectroscopy to monitor light emissions from the plasma as the etch process progresses. One such system is disclosed in U.S. Patent Number 5,362,356 issued November 8, 1994 and incorporated herein by reference. This patent discloses a passive method of monitoring film removal (or deposition) during plasma etching (or deposition) based on interference phenomena. The system monitors plasma emission intensity at a selected wavelength, without additional illuminating apparatus, and variations in plasma emission intensity are correlated to remaining film thickness, etch rate, etch uniformity and etch selectivity. However, to derive a uniformity for a wafer being etched, the '356 invention must make certain assumptions concerning the etch rate and the thickness of the wafer films in order to predict the uniformity at any point during the etch process. Such assumptions can be error prone.

Therefore, a need exists in the art for a method and apparatus for performing direct, *in situ* measurement of etch uniformity.

The disadvantages associated with the prior art are overcome by the present invention of a method and apparatus for performing direct, *in situ* measurement of etch uniformity. Specifically, the present invention analyzes optical emission spectroscopy (OES) data produced by an etch rate monitoring system. The invention computes the first derivative of the OES data as the data is acquired. When the OES data meets a predefined trigger criterion (i.e., a trigger point) such as attaining the etch endpoint or reaching an inflection point in the data, the invention correlates the value of the first derivative with a particular uniformity value. The correlation can be accomplished using a look-up table or a correlation equation. The look-up table or correlation equation are

predefined using empirical data. The invention then displays the uniformity value and/or responds in some manner to the uniformity value. The present invention has been found to accurately predict etch uniformity using this direct, *in situ* measurement technique. As such, extensive post-processing is not necessary which substantially reduces the costs associated with performing an etch process.

The teachings of the present invention can be readily understood by considering the following detailed description in conjunction with the accompanying drawings, in which:

FIG. 1 depicts a block diagram of an optical emission spectroscopy system incorporating the present invention;

FIG. 2 depicts a block diagram of a computer system that executes a software implementation of the present invention;

FIG. 3 depicts a flow diagram of an etch uniformity derivation routine that is executed by the computer system of FIG. 2;

FIG. 4 depicts an illustrative curve of OES data and its first derivative; and

FIG. 5 depicts an illustrative curve that correlates etch uniformity with the first derivative of the OES data curve.

To facilitate understanding, identical reference numerals have been used, where possible, to designate identical elements that are common to the figures.

The present invention is a method and apparatus for performing a direct, *in situ* measurement of etch uniformity of a semiconductor wafer within a semiconductor wafer processing system. The invention analyzes optical emission spectroscopy (OES) data collected as a semiconductor wafer is being etched within an etching system, such as Model PE5000 manufactured by Applied Materials, Inc. of Santa Clara, California. The OES data may be collected by any type of *in situ* measuring equipment that provides accurate OES data. Such a system is the OES endpoint system manufactured by Applied Materials, Inc. as an integrated emissions endpoint module for the Model PE5000. Although an OES system is illustratively used for data acquisition, the invention can be used with any system that produces data that indicates etch process progression, e.g., interferometric systems. To simplify the disclosure of the invention, the following discussion presents the invention as adapted to operate within an OES system. From this disclosure, those skilled could adapt the invention to operate within other forms of etch process monitoring system.

FIG. 1 depicts a block diagram of an OES system 100, also known as an optical emission endpoint detection system. The hardware of this system is conventionally used for endpoint detection, whereby data is collected from a monochromator module 110 as it monitors a

particular wavelength of emitted light from a plasma in a process chamber 102. A particular change in the intensity of an emission at the monitored wavelength is indicative of the endpoint for the etch process. A typical endpoint detection system is disclosed in U.S. Patent 5,362,356, issued November 8, 1994. Further processing and analysis of a particular wavelength emission (e.g., the carbon-monoxide (CO) emission line at 4835 angstroms) as the magnitude of the emission changes during the etch process produces information that is indicative of the uniformity of the etch process. The invention is implemented in software within a computer system that processes and analyzes the OES data to deduce the etch uniformity across the wafer surface.

Specifically, FIG. 1 depicts a process chamber 102 of, for example, a PE5000 etching system 100 connected by a fiber optic cable 103 to the integrated emissions endpoint module 101. The integrated emissions endpoint module contains a monochromator module 110, controller electronics 118 and a computer system 122. The monochromator module further contains a monochromator 104, a stepper motor 112 for controlling the wavelength selection for the monochromator, a calibration lamp 114 for calibrating the monochromator, a photomultiplier tube 106, a high-voltage power supply 108, and a monochromator interface board 116. In operation, light generated by a plasma within the process chamber 102 is coupled to the monochromator through the fiber optic cable 103. The monochromator converts the photons carried by the fiber optic cable 103 into electrons. The electrons are multiplied by the photomultiplier tube 106 that is powered by the high-voltage power supply 108. The output of the photomultiplier tube 106 is coupled to the monochromator interface board 116.

In addition, the monochromator interface board 116 controls the stepper motor 112 that selects a particular light wavelength for processing. In particular, the stepper motor controls the position of an interference grid (not shown) within the monochromator to select a particular wavelength of light for analysis. The calibration lamp 114 is coupled to the monochromator to calibrate the monochromator at a particular wavelength.

A DB-25 electrical cable connects the monochromator interface board 116 to the controller interface board 120 within controller electronics 118.

The control electronics are coupled to the computer system 122 via a computer bus 126, e.g., a serial bus. The conventional endpoint software 128, as well as the etch uniformity derivation software 124 of the present invention and the computer operating system 130, are stored within and executed by the computer system 122.

The hardware for implementing the foregoing integrated emission endpoint module 101 is available from Applied Materials, Inc. of Santa Clara, California. For example, the fiber optic cable is available from Applied Materials, Inc. as part number 0190-09134, the monochromator as part number 0010-09935, the controller electronics as part number 0240-10475, and the computer

system as part number 0240-32585.

FIG. 2 depicts a detailed block diagram of the computer system 122 which facilitates OES data analysis, processing and display. Specifically, the computer 200 contains a central processing unit (CPU) 204, support circuitry 206, random access memory (RAM) 208, read only memory (ROM) 212, mass storage device 214 (e.g., a disk drive), and a display driver 216. Additionally, a user interacts with the computer system through one or more input devices 220, such as a keyboard, mouse, trackball, touch pad, and the like. Also, the computer system displays the data, various graphical interface displays, and the uniformity value(s) on an output display device 218, such as a computer monitor.

Alternatively, the computer system may also interact with other output display devices, such as a printer, to provide hard copy of any display that appears on the computer monitor.

The controller interface board 120 preprocesses the data from the monochromator module 110 and provides data transport between the monochromator module and the CPU 204.

The CPU 204 is a general purpose processor such as a PowerPC, Pentium, or some other generally available processor. PowerPC is a registered trademark of International Business Machines of Armonk, New York and Pentium is a registered trademark of Intel Corporation, of Santa Clara, California. Since the software implementation of the present invention is not required to execute on any specific processor, routines implementing the present invention can be executed upon any type of processor or combination of processors in a parallel processing computer environment. The present embodiment of the invention described herein executes on a Pentium processor that is manufactured by Intel Corporation, of Santa Clara, California.

The CPU 204 operates in conjunction with various other circuits such as RAM 208, ROM 212, storage device 214 and support circuitry 206 such as co-processors, clock circuits, cache, power supplies and other well known circuits. The operation and interrelationship of the various computer components contained in general purpose computer are well known in the art and do not require further explanation. The display driver 216 may be a video card, printer driver, or other common driver software and hardware as required by the output device 218.

The RAM 208 stores the software implementation of the present invention, i.e., the etch uniformity derivation routine 124. Typically, the routines of the invention are stored in the mass storage device 214 and recalled for temporary storage in RAM while being executed by the CPU 204.

FIG. 3 depicts a flow diagram of the etch uniformity derivation routine 124 of the present invention. The routine begins at step 300 and proceeds to step 301. At step 301, a user initializes test parameters, wafer characteristics, and various correlation parameters. Such in-

Initialization can be accomplished by entering the information via the computer system input device(s) or by recalling the information from memory. At step 302, the routine receives OES data from the monochromator module. At step 304, the routine processes the OES data. This processing averages the data points to produce a smooth data set that, when plotted, would generate a smooth curve representing the magnitude of the OES data versus time. A rolling average is used to include additional data points into the average as the data is available from the monochromator module. Further processing may include data thresholding, spike filtering and the like. The combination of the system initialization and data acquisition steps form a data acquisition module of the invention. An illustrative OES data curve produced by this module is depicted in FIG. 4 as curve 400. This curve represents the magnitude of the OES data normalized as a percentage of the full scale of the data acquisition equipment (the right-hand scale) versus time, in seconds.

At step 306, the routine 124 computes a first derivative of the data. This step of the routine forms a derivative generator that generates the first derivative by taking a pair of sequential and filtered data points and dividing by the time elapsed between those two filtered data points. The routine repeats this computation as each filtered data point is generated by the monochromator module. Symbolically, the first derivative is

$$\frac{X_2 - X_1}{\Delta T}$$

where  $X_1$  is a first filtered data point, and  $X_2$  is a second filtered data point and  $\Delta T$  is the time duration between the  $X_1$  and  $X_2$ .  $\Delta T$  is typically 0.1 seconds. When plotted, the first derivative of the OES data represented by curve 400 shown in FIG. 4 would appear as curve 402. Curve 402 represents the first derivative as a change in the full scale percentage (normalized data values) over a specific time duration  $\Delta T$  (left-hand scale) versus time. The peak of curve 402 indicates the inflection point of the OES data curve 400.

At step 308, the routine detects the "trigger point" of the OES data curve. The trigger point is user selected during system initialization in step 302. This trigger point selection step forms a trigger criterion generator. The trigger point can be any point on the OES data curve; however, the selection is usually the inflection point in the OES data curve or the etch endpoint of the OES data curve. The etch endpoint is generally defined by the parameters selected to control the endpoint software. Specifically, the value of the first derivative of the OES data at the inflection point repeatably correlates with the etch uniformity across the wafer. Furthermore, if the etching process is stopped before the inflection point is reached, the value of the first derivative at the point where etching is halted (i.e., the endpoint) is indicative of the uniformity

across the wafer at that point in time.

The correlation between etch uniformity and the magnitude of the first derivative depends upon the test parameters used as well as the wafer characteristics and wafer recipe. Consequently, the test parameters and wafer characteristics are preloaded at step 301, into the memory of the computer system such that they can be selected by the user at test initiation. Generally, the user will select the present test parameters and wafer characteristics from a menu and a look-up-table associated with those parameters is loaded into memory. The look-up table is a correlation table for values of first derivative and uniformity. The routine, at step 312, accesses the look-up table containing the uniformity versus first derivative correlation values. The table access process that correlates first derivative values and uniformity values forms a data analyzer. Using this look-up table and given a value of the first derivative, the routine generates a uniformity value for the wafer that corresponds to the wafer characteristics and the test parameters of the present test. Alternatively, a correlation equation is used rather than a look-up table. A graphical representation of an illustrative correlation equation is depicted and described in relation to FIG. 5.

At step 314, the routine displays the uniformity value. Alternatively, the uniformity value may be compared to a threshold and, depending upon the uniformity value with respect to the threshold, the routine generates a subjective uniformity term such as uniformity "good" or uniformity "poor". Optionally, at step 316, the routine may compare the uniformity value with a plurality of threshold values where, depending on the particular threshold value that is exceeded by the uniformity value, the routine can make suggestions on how to improve the uniformity. For example, for very poor uniformity, the routine may suggest that the user accomplish a preventive maintenance procedure such as cleaning chamber windows, recalibration of the monochromator and the like.

FIG. 5 depicts a graph 500 of etch uniformity (scale 502) versus normalized first derivative values (scale 504) corresponding to a particular test parameter and wafer characteristic set. The first derivative values shown are the "peak" values at the inflection point, also known as the "minimum slope" values. In the illustrative graph, for example, an etch process that attains a minimum slope of -2 would have a coefficient of variation (a measure of uniformity) of 2 percent. Given the particular test parameters and the wafer characteristics, a look-up table containing the information in the graph of FIG. 5 would be used at step 312 of the flow diagram to determine the uniformity given a particular value of first derivatives. Alternatively, a curve fitted to the data points (e.g., curve 506) is used to derive an equation from which any value of uniformity can be derived from a given minimum slope value. The depicted curve is linear (e.g., having the form  $Y = M_0 + M_1 X$ ), but other forms of linear and non-linear curves can be fitted to the data.

The film thickness measurements used to generate curve 500 were performed using the Prometrix SM-300 and measuring 49 points with a 6 mm edge exclusion before and after etching. The wafers were 150 mm thermal oxide wafers. The test was conducted within a process chamber containing a pressure of 200 mTorr, the cathode temperature was maintained at 20 degree Celsius and 8 Torr of Helium was provided to cool the backside of the wafer. The chamber was provided a 25 sccm flow of CHF<sub>3</sub>, a 5 sccm flow of CF<sub>4</sub>, and a 75 sccm flow of Ar. The plasma was powered by 750 Watts and a 30 G magnetic containment field was used. The etch non-uniformity is represented in FIG. 5 using a coefficient of variation, a well-known 1 $\sigma$  uniformity metric. The coefficient of variation (CV) measures variation as a percent of the mean variation and is given by the following ratio:

$$CV = 100 * \left( \frac{\sigma}{\mu} \right)$$

where  $\sigma$  is the observed standard deviation of the wafer surface variations and  $\mu$  is the mean of those variations. The non-uniformity discussed herein is presented as a percentage of the mean.

Besides displaying the uniformity (more correctly, the value that is displayed represents non-uniformity), the routine may control the etch process such that the etching of the wafer is concluded when a particular uniformity is reached and after a particular etch rate has been exceeded, thus providing a means of optimally controlling the wafer etch process.

As discussed above, the invention is a method and apparatus for performing direct, *in situ* measurement of etch uniformity by monitoring, processing and analyzing OES data. The invention computes the first derivative of the OES data. This first derivative value is repeatably indicative of etch uniformity. As such, the invention produces a display of the etch uniformity value and/or in some manner responds to that value.

Although various embodiments which incorporate the teachings of the present invention have been shown and described in detail herein, those skilled in the art can readily devise many other varied embodiments that still incorporate these teachings.

## Claims

1. A method for measuring etch uniformity comprising the steps of:

acquiring data indicating etch progression;  
defining a trigger criterion corresponding to a particular value of said data to form a trigger point;  
producing, as said data is acquired, a first de-

rivative of said data as a first derivative value, generating, when said trigger criterion is attained by said data, a uniformity value that is related to said first derivative value.

2. The method of claim 1 wherein said data is optical emission spectroscopy data.
3. The method of claim 1 wherein said trigger criterion is a value of said data that represents when an endpoint to an etching process has been attained.
4. The method of claim 1 wherein said trigger criterion is an inflection point in a curve representing said data.
5. The method of claim 1 wherein said first derivative value is produced by

$$\frac{X_2 - X_1}{\Delta T}$$

where  $X_1$  is a data point in said data, and  $X_2$  is a data point and  $\Delta T$  is a time duration elapsed between acquisition of the  $X_1$  and  $X_2$  data points.

6. The method of claim 1 wherein said generating step comprises the steps of:

addressing a look up table using said first derivative value, where said look up table contains uniformity values correlating to first derivative values; and

producing a particular uniformity value in response to said first derivative value at said trigger point.

7. The method of claim 1 wherein said generating step comprises the step of computing a particular uniformity value that corresponds to said first derivative value at said trigger point, where said particular uniformity is computed using a correlation equation that produces uniformity values corresponding to first derivative values.

8. The method of claim 1 further comprising the step of responding to said uniformity value.

9. Apparatus for measuring etch uniformity comprising:

a data acquisition module for acquiring data indicating etch progression;

a trigger criterion generator for producing a trigger point corresponding to a particular value of said data;

a derivative generator for producing, as said

data is acquired, a first derivative of said data  
as a first derivative value;  
a data analyzer for generating, when said trig-  
ger point is attained by said data, a uniformity  
value in response to said first derivative value 5  
at said trigger point.

10. The apparatus of claim 9 wherein said data ac-  
quisition module is an optical emission spectroscopy  
data acquisition module and said data is optical 10  
emission spectroscopy data.
11. The apparatus of claim 9 wherein said trigger point  
is a value of said data that represents when an end-  
point to an etching process has been attained. 15
12. The apparatus of claim 9 wherein said trigger point  
is an inflection point in a curve representing said da-  
ta. 20
13. The apparatus of claim 9 wherein said first deriva-  
tive value is produced by

$$\frac{X_2 - X_1}{\Delta T} \quad 25$$

where  $X_1$  is a data point in said data, and  $X_2$  is a  
data point and  $\Delta T$  is a time duration elapsed be-  
tween acquisition of the  $X_1$  and  $X_2$  data points. 30

14. The apparatus of claim 9 wherein said data analyz-  
er further comprises:  
a look up table that is addressed using said first 35  
derivative value at said trigger point, where said  
look up table contains uniformity values corre-  
lated to first derivative values; and  
a table address generator for producing from  
said look up table a particular uniformity value 40  
in response to said first derivative value at said  
trigger point.
15. The apparatus of claim 9 wherein said data analyz-  
er further comprises a processor for computing a 45  
particular uniformity value in response to said first  
derivative value at said trigger point, where said  
particular uniformity is computed using a correlation  
equation that produces uniformity values given first  
derivative values. 50
16. The apparatus of claim 9 further comprising a  
means for responding to said uniformity value.

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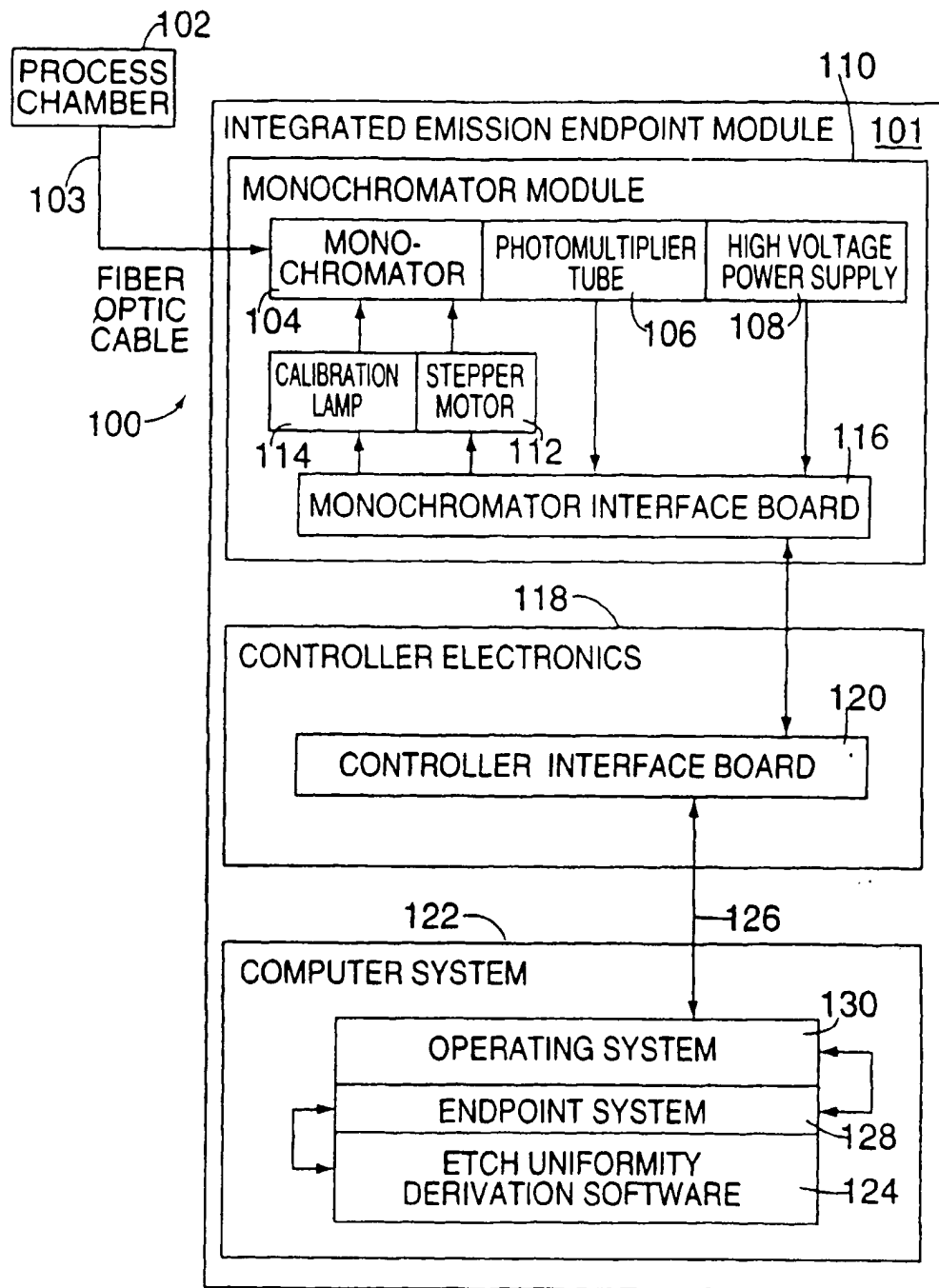


FIG. 1

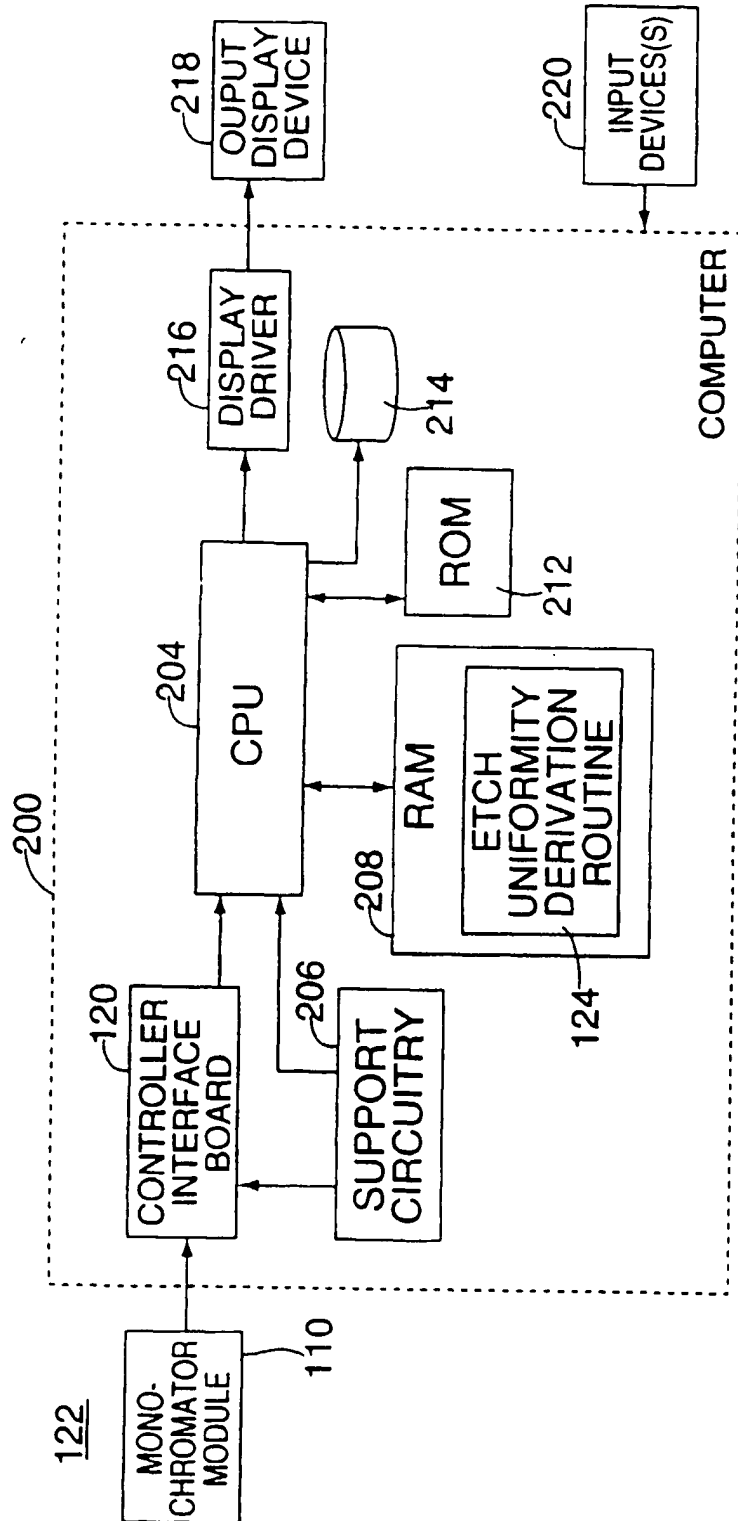


FIG. 2



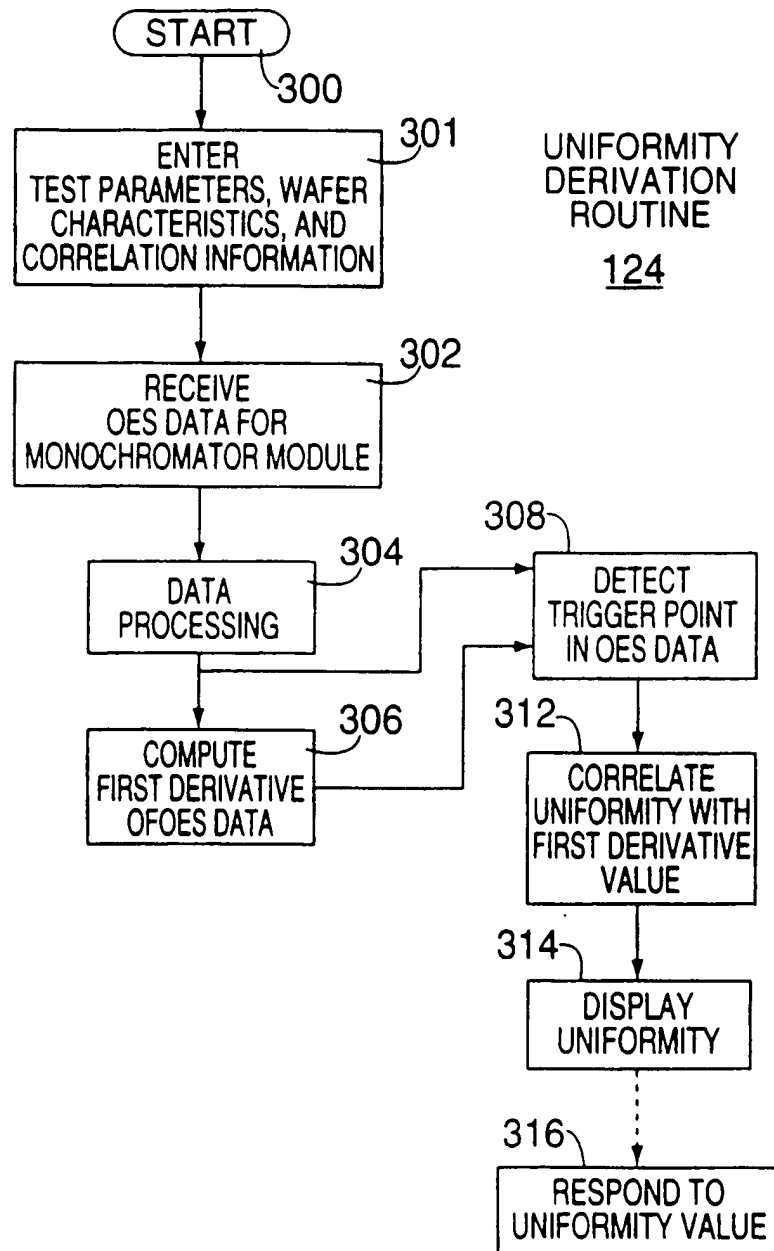


FIG. 3

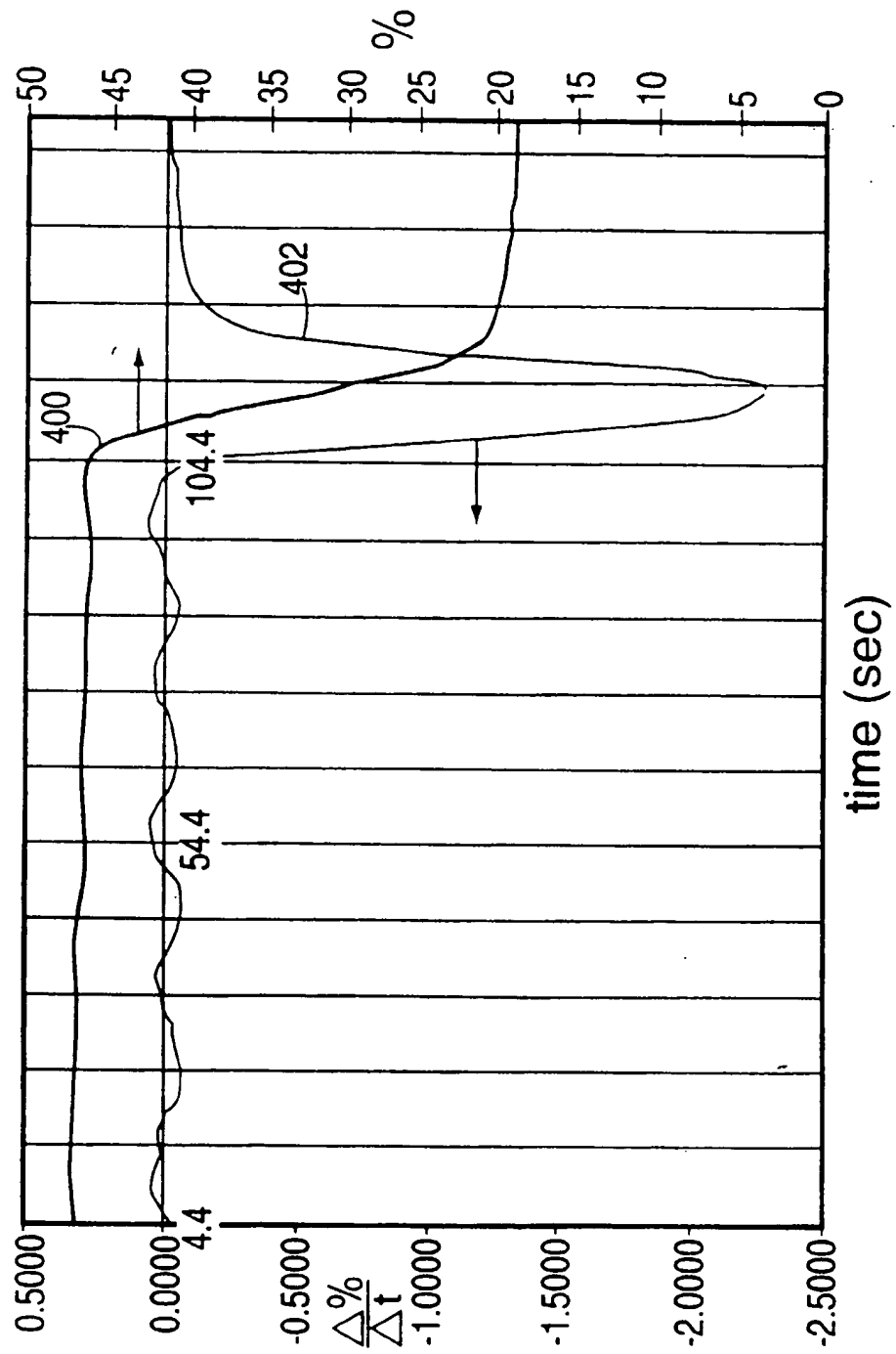


FIG. 4

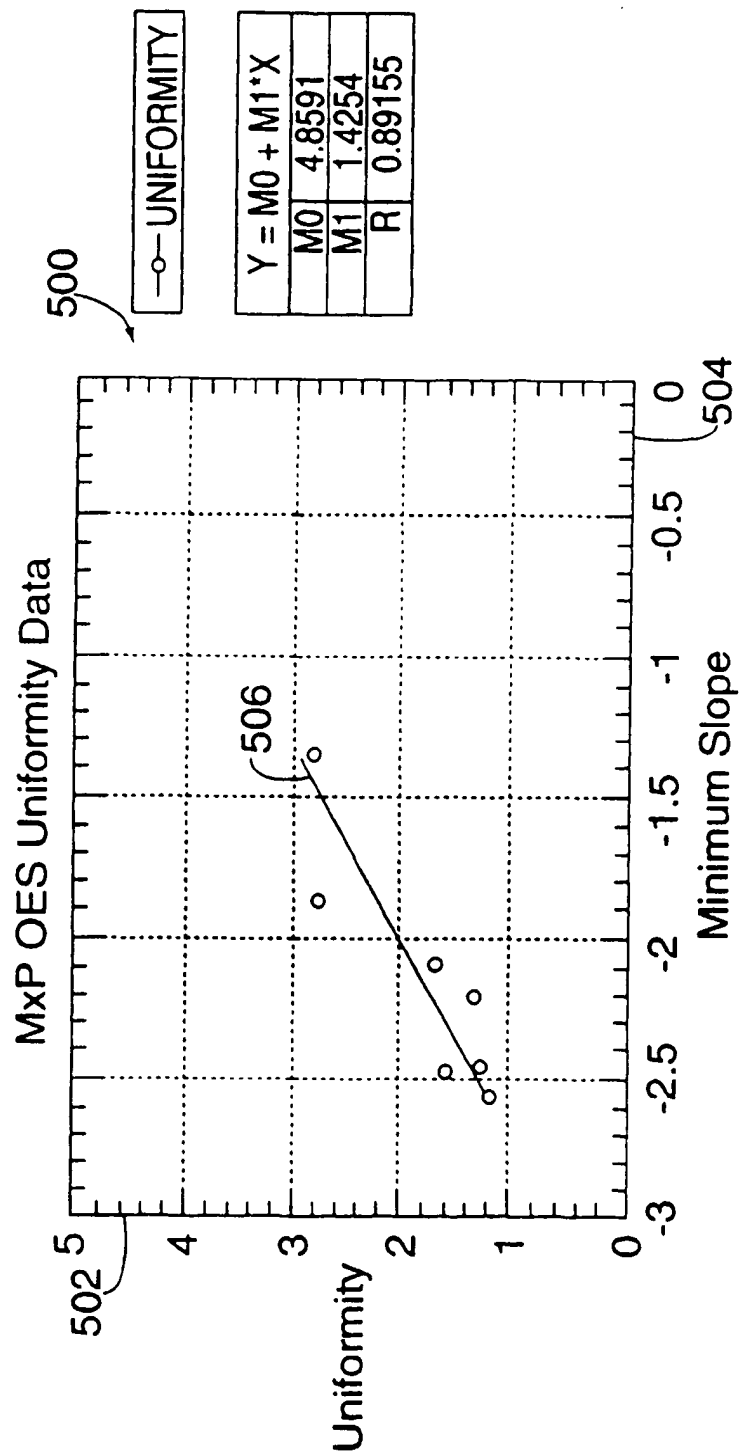


FIG. 5